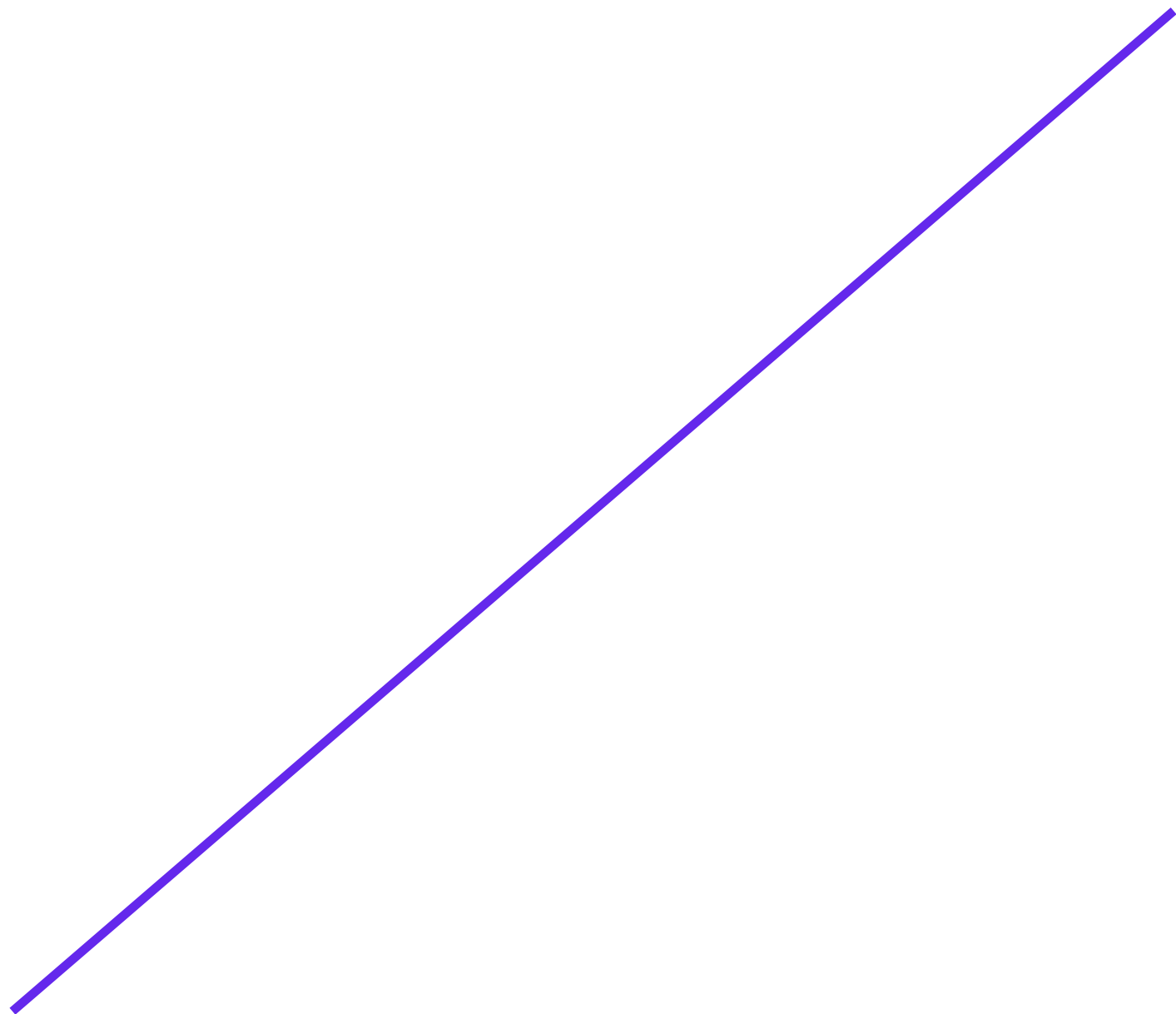


# 2023 RSS Eligible Securities



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**Classification:** Document

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**Type:** Report

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**Title:** RSS Eligible Securities

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## A security will be considered for RSS activities based on the following criteria:

1. Securities included in any UAE Index issued by a rating agency will be automatically eligible for Regulated Short Selling (RSS) and shall be removed on the effective date of its exclusion if the rating agencies undertakes such an action.
2. Securities which are part of a DFM derivative program will also be automatically be eligible for RSS.
3. All ETFs are automatically eligible for RSS immediately upon listing
4. Securities listed on the market and meet all the following criteria will be eligible for RSS activities:
  1. Securities with market capitalization of not less than AED 1 billion and an aggregate turnover during the preceding 12 months to market capitalization ratio of not less than 50%.
  2. Securities with value traded not less than AED 100 million throughout the evaluation period.
  3. Securities with shares traded not less than 500,000 shares throughout the evaluation period.
  4. Securities with number of transactions not less than 150 transactions throughout the evaluation period.
  5. Securities traded on 50% of the total trade days throughout the evaluation period.
  6. Securities with number of free float shares available for trading not less than 50% of the total number of company's shares listed.
  7. Availability of at least 5 percentage points of the ownership limit allowed for foreign investors.
  8. The exchange may exempt any security from meeting any of the above-mentioned requirements.
5. Right issues and covered warrants are not eligible for short selling.
6. The list of eligible securities will be reviewed every 6 calendar months or if there is a review by the rating agency. The updated "Eligible Securities List" will be published after the completion of the periodic review of the previous six months of trading unless otherwise necessary or determined by the Exchange.
7. Previously eligible RSS securities will have ceased to be eligible for RSS on the next trading day after notification by DFM. Securities Lending & Borrowing (SLB) transactions for these securities may remain outstanding. However, no new loans for RSS shall be permitted.

## Company Names as of January 2023

AIRARABIA - Air Arabia PJSC

AJMANBANK – Ajman Bank PJSC

AMAN - Dubai Islamic Insurance and Reinsurance Co.

AMANAT - AMANAT HOLDINGS PJSC

AMCREIT - Al Mal Capital REIT

AMLAK - Amlak Finance P.J.S.C

ARMX - ARAMEX PJSC

ASCANA – Arabian Scandinavian Insurance – Takaful

CBD – Commercial Bank of Dubai P.S.C

CHAE – Chimera S&P UAE Ucits ETF – Share Class A – Accumulating

CHAESHIN - Chimera S&P UAE Shariah ETF

DARTAKAFUL – Dar Al Takaful

DEWA – Dubai electricity & Water Authority PJSC

DEYAAR - Deyaar Development PJSC

DFM - Dubai Financial Market PJSC

DIB - Dubai Islamic Bank

DIC - Dubai Investments PJSC

DIN – Dubai Insurance Co, PSC

DNIR – Dubai National Insurance & Reinsurance

DU - Emirate Integrated Telecommunications Company PJSC

EMAAR - Emaar Properties PJSC

EMAARDEV - EMAAR DEVELOPMENT PJSC

EMIRATESNBD – Emirates NBD PJSC

EMPOWER – Emirates Central Cooling Systems Corporation PJSC

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**ERC – Emirates Refreshments Company**

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**GFH – GFH Financial Group B.S.C**

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**GULFNAV – Gulf Navigation Holding PJSC**

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**MASQ – Mashreqbank PSC**

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**NCC – National Cement Company (P.S.C)**

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**NGI – National General Insurance Company P.J.S.C**

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**OIC – Oman Insurance Company (P.S.C)**

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**SALAMA - Islamic Arab Insurance Company**

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**SALIK – Salik Company PJSC**

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**SHUAA - SHUAA Capital PSC**

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**TAALEEM – Taaleem Holding PJSC**

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**TABREED - National Central Cooling Co.**

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**TAKAFUL-EM – Takaful Emarat (PSC)**

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**TECOM – Tecom Group PJSC**

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**UPP - Union Properties PJSC**

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